

Sector #

CERTIFICATE OF MAILING

Whereby certify that this correspondence is being deposited
With the United States Postal Service as First Class Mail to:
BOX MISSING PARTS, Assistant Commissioner for Patents,
Washington, DC 20231 on 27 April 2001.

Julie M. Balot

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|---|---|
| In re application of: ROBERT E. DVORAK and KEVIN KATARI Application No.: 09/760,377 Filed: 12 JANUARY 2001 Title: MULTIPURPOSE CAUSAL EVENT CALENDAR FOR INTEGRATED MANAGEMENT DECISION SUPPORT | Attorney Docket No.: BLFR 1003-1 Examiner: NOT ASSIGNED YET Group: 2167 |
|---|---|

RESPONSE TO NOTICE OF MISSING PARTS OF APPLICATION

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application dated 27 February 2001,
enclosed please find:

- [X] Form PTO-1533 (copy of Notice to be returned with response);
- [X] Combined Declaration and Power of Attorney signed by the inventors;
- [X] Joint to Corporate Assignment signed by the inventors with Form PTO-1595;
- [X] Power of Attorney by Assignee to Exclusion of Inventor Under 37 C.F.R. §3.71
with Revocation of Prior Powers signed by Robert E. Dvorak, CEO;
- [X] Fee Transmittal Sheet (basic filing fee, extra claims fee, missing parts surcharge
and assignment recordation fee); and
- [X] Check No. 1204 in the amount of \$1,224.00.

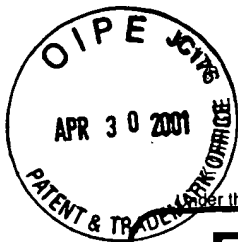
The Commissioner is hereby authorized to charge any additional fees that may be
required and to credit any overpayment, to Deposit Account No. 50-0869 (Docket No. BLFR
1003-1). A duplicate of this paper is enclosed.

Respectfully submitted,

Ernest J. Beffel, Jr.
Registration No. 43,489

Date: 27 April 2001

HAYNES & BEFFEL LLP
P.O. Box 366
Half Moon Bay, CA 94019
(650) 712-0340 (phone)
(650) 712-0263 (fax)



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 1,224.00

Complete if Known

| | |
|----------------------|------------------|
| Application Number | 09/760,377 |
| Filing Date | 12 JANUARY 2001 |
| First Named Inventor | ROBERT E. DVORAK |
| Examiner Name | NOT ASSIGNED YET |
| Group Art Unit | 2167 |
| Attorney Docket No. | BLFR 1003-1 |

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0869
Deposit Account Name: Haynes & Beffel LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----|----------------------------|----------------------------|------------------------|----------|
| 101 | 710 | 201 355 | Utility filing fee | 355.00 |
| 106 | 320 | 206 160 | Design filing fee | |
| 107 | 490 | 207 245 | Plant filing fee | |
| 108 | 710 | 208 355 | Reissue filing fee | |
| 114 | 150 | 214 75 | Provisional filing fee | |

SUBTOTAL (1) (\$) 355.00

2. EXTRA CLAIM FEES

Total Claims: 96
Independent Claims: 5
Multiple Dependent Claims: 91
Extra Claims: 76
Fee from below: 9.00
Fee Paid: 684.00
Extra Claims: 2
Fee from below: 40.00
Fee Paid: 80.00

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----|----------------------------|----------------------------|--|----------|
| 103 | 18 | 203 9 | Claims in excess of 20 | |
| 102 | 80 | 202 40 | Independent claims in excess of 3 | |
| 104 | 270 | 204 135 | Multiple dependent claim, if not paid | |
| 109 | 80 | 209 40 | ** Reissue independent claims over original patent | |
| 110 | 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$) 764.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Large Entity Fee (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------|-----------------------|----------------------------|--|----------|
| 105 | 130 | 205 65 | Surcharge - late filing fee or oath | 65.00 |
| 127 | 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 130 | Non-English specification | |
| 147 | 2,520 | 147 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 55 | Extension for reply within first month | |
| 116 | 390 | 216 195 | Extension for reply within second month | |
| 117 | 890 | 217 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 155 | Notice of Appeal | |
| 120 | 310 | 220 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 135 | Request for oral hearing | |
| 138 | 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 220 | Design issue fee | |
| 144 | 600 | 244 300 | Plant issue fee | |
| 122 | 130 | 122 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 146 | 710 | 246 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 105.00

SUBMITTED BY

Name (Print/Type) Ernest J. Beffel, Jr.

Registration No. 43,489
(Attorney/Agent)

Complete (if applicable)

Telephone (650) 712-0340

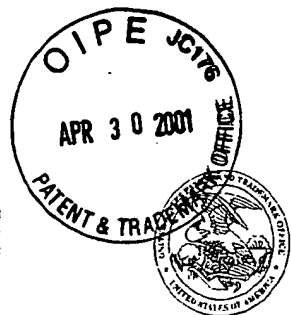
Signature

Ernest J. Beffel, Jr.

Date 27 April 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/760,377 | 01/12/2001 | Robert Dvorak | BLFR 1003-1 |

CONFIRMATION NO. 1255

FORMALITIES LETTER



OC000000005805633

HAYNES & BEFFEL LLP
P.O. Box 366
Half Moon Bay, CA 94019

Date Mailed: 02/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$755.
 - \$675 for 75 total claims over 20.
 - \$80 for 2 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1175.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/03/2001 EEKUBAY1 00000023 09760377

| | |
|-----------|-----------|
| 01 FC:201 | 355.00 OP |
| 02 FC:203 | 604.00 OP |
| 03 FC:202 | 80.00 OP |
| 04 FC:205 | 65.00 OP |